

URBAN GOVERNANCE SURVEY

July 2014

The Urban Governance Survey by UN Habitat, UCLG and LSE Cities

Thank you for participating in this short [20 min] online survey on urban governance. The survey will cover the following thematic areas: Political power, budget & financing, multi-level governance, participation & accountability, continuity & strategic planning.

The survey and its underlying research has three main objectives and related findings will hopefully also be of value to your city government:

1. address the urban governance 'data challenge', i.e. deal with the problem that regardless of constantly increasing information on urban governance in individual cities, we continue to have very limited knowledge on the wide spectrum of different urban governance arrangements.
2. establish a platform for individual cities to identify international urban governance cases that are of particular relevance to their own specific situations. This would potentially allow for a more fruitful exchange of good practice.
3. explore new and innovative ways for communicating and mapping urban governance for public dissemination, comparative policy and research analysis.

The success of this study depends on the level of participation of local governments in different countries and global regions. Its impact will certainly profit from the current global momentum around urban governance related questions. The United Nations are currently debating the future priorities for the post-2015 world development agenda. As the world becomes increasingly urbanised, the urban agenda and urban governance are central issues in these discussions.

Initial results of this survey will inform the 2014 Urban Age Conference in Delhi, India which will bring together a wide range of policy makers, academics and city experts to discuss the role of urban governance in shaping the future development of cities. In addition, the survey results will act as a backdrop for UCLG's 4th Global Report on Decentralisation and Local Democracy (GOLD IV), a publication that examines the situation of local governments around the world.

We are very keen to include your responses and the information on your city in this analysis and, given the tight timeline to contribute to the debates and research efforts above, would very much appreciate if you could respond to the survey by Friday, 29 August.

If you have any questions or comments, please do not hesitate to contact me: m.lee13@lse.ac.uk or +44 (0)20 71075521.

Thank you so much for your support!

1. Which city or local government are you representing or providing information for?

Country

Name of city/local government

2. Does your city have a Mayor?

Yes

No

3. Is he/she...

directly elected (by popular vote)

indirectly elected (by city assembly)

appointed (by other tiers of government)

Other

Please specify

4. Who holds the executive and legislative power in your city/local government?

	Executive	Legislative
Mayor	<input type="checkbox"/>	<input type="checkbox"/>
Council	<input type="checkbox"/>	<input type="checkbox"/>
Cabinet	<input type="checkbox"/>	<input type="checkbox"/>
City manager	<input type="checkbox"/>	<input type="checkbox"/>
State appointed official	<input type="checkbox"/>	<input type="checkbox"/>
Central government appointed official	<input type="checkbox"/>	<input type="checkbox"/>
I don't know.	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>

Please specify

5. What is the most recent official population figure for your city/local government?

Please provide both the total figure, the year, and the source of the data.

Population figure

Year

Source

14. And within the local revenue, what is the estimated percentage of...

	0	0-10	10-20	20-30	30-40	40-50	50-60	60-70	70-80	80-90	90-100	I don't know.
Taxes (property tax, income tax)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
User fees (tariffs)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Sale of assets (including land)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Please specify

15. What is the percentage of the local budget that comes from other tiers of government?

	0	0-10	10-20	20-30	30-40	40-50	50-60	60-70	70-80	80-90	90-100	I don't know.
State	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Supranational	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Please specify

16. What is the percentage of publicly owned land out of the total land area of the municipality/local government area?

	0	0-10	10-20	30-40	40-50	50-60	60-70	70-80	80-90	90-100	I don't know.
Publicly owned land	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Exact percentage, if known.

17. What is the percentage of land owned by different tiers of government out of the total area across the municipality/local government area?

	0	0-10	10-20	20-30	30-40	40-50	60-70	70-80	80-90	90-100	I don't know.
Municipality	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
State	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Please specify

18. Does the city have the legal ability to borrow money?

- Yes
- No
- I don't know.

19. Is there a limit to borrowing?

- There is no limit.
- I don't know.
- There is a limit.

Please state the limit.

20. Is your city/local government part of a larger metropolitan area with a formal institutional set-up?

- Yes
- No

21. What is the official name of the metropolitan area?

22. Are there any levels of government which subdivide your city e.g. at borough, district, ward level?

- Yes
- No

23. Please list them in the following fields, ordered by size from largest to smallest.

1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>
4	<input type="text"/>
5	<input type="text"/>

24. Please rate the level of influence that different tiers of government have over decision making in the following policy sectors for your city/local government (0 = no influence; 1 = limited influence; 2= moderate influence; 3= significant influence)

	Below City Level (e.g. Borough/Ward/District)	City	Metropolitan Area	State/Region	National/Central/Federal Government	Other
Urban transport	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Spatial planning	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Economic development	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Social services	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Environment	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Utilities	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Health	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Education	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Housing	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Policing and security	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Culture	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Additional comments

25. In what capacity can citizens influence local policies?

- Voting/Elections
- Public consultation/Public hearings
- Formal petitions
- Online engagement (petitions, complaint forms, etc.)
- Participatory Budgeting
- None
- Other

Please specify

26. Do you have a quota to ensure representation for underrepresented groups?

- Yes
- No
- I don't know.

27. Which underrepresented groups do you have a quota for?

28. Are there any formal mechanisms that exist to report cases of corruption?

- Yes
- No

29. Can you give a brief description of any such anti-corruption mechanisms?

30. Does the city/local government have a strategic plan?

- Yes
- No

31. What is the name of the plan, in what year was the latest edition published and what time period does it cover?

Name

Year

Time period (0-5 years; 5-10 years; 10-20 years; 20-30 years; >30 years)

32. Does your city/local government use any planning instruments or processes to help you plan for the future?

- Population models
- Spatial growth models
- Transport models
- Climate models
- Other modeling
- Backcasting (e.g. for strategic targets such as carbon reduction, poverty reduction, etc.)
- Forecasting (e.g. economic, employment or population growth)
- Scenarios (e.g. urban development)
- None of the above
- Other

Please specify

33. Does your city/local government have an integrated transport authority covering multiple modes of transportation and is it accountable to the city/local government?*

- Yes, but not accountable to city government
- Yes, and accountable to city government
- No
- I don't know.

Please specify

*Authority overlooking multiple transport modes within the metropolitan area.

34. Which modes does the integrated transport authority manage?

- Cycling
- Motorbike
- Paratransit e.g. cycle taxi services, mini-bus services
- Taxi
- Bus
- Tram (light rail)
- Underground/metro
- Suburban rail
- Local/Smaller roads
- Main/Larger roads
- Highways
- Traffic management

35. What are the three biggest urban governance challenges your city/local government faces?

1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>

36. Have there been any examples of recent disruptions that have affected the way your city/local government operates?

- Tax reforms
- Structural reforms
- Institutional reforms
- Change in national policies affecting local policies
- Economic recession
- Natural disasters
- Political conflict
- Other

Other (please specify)

37. Which of the following governance constraints is your city/local government facing?

Please tick all that apply

- Predictability of resources
- Election cycles
- Lack of public support
- Fragmented local governance
- Lack of public funding
- Lack of private investment
- Lack of skills in the local government
- Lack of skills in the local workforce
- Lack of private sector support
- Lack of state government support
- Lack of national government support
- Other

Please specify

38. Would you be willing to reply to one last optional question on managing urban transport in your city?

- Yes
- No

39. Please rate the level of influence that different tiers of government have over decision making in the transport sector in your city.

(0=no influence; 1=limited influence; 2=moderate influence; 3=significant influence)

	Below City Level (e.g. Borough/Ward/District)	City	Metropolitan Area	State/Region	National/Central/Federal Government	Other
Urban Design/Walking	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Cycling	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Motorbike	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Paratransit e.g. cycle taxi services, mini-bus services	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Taxi	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Bus - infrastructure	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Bus - operations	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Tram (light rail) - infrastructure	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Tram (light rail) - operations	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Underground/metro - infrastructure	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Underground/metro - operations	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Suburban rail - infrastructure	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Suburban rail - operations	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Local roads/smaller streets - infrastructure	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Local roads/smaller streets - operations	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Main roads/larger streets - infrastructure	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Main roads/larger streets - operations	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Highways - infrastructure	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Highways - operations	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Traffic management	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Additional comments:

40. Is there anything else you would like to tell us about your city's governance that the survey did not cover? Any other comments?

41. Please provide your contact details below in case we have any questions about any of your responses and so that we can keep you informed about the outcome of this research project.*

Name	<input type="text"/>
Organisation	<input type="text"/>
Position	<input type="text"/>
E-mail	<input type="text"/>

*All contact information will be kept confidential.

Thank you for your time!